

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EV 327672958 US

Applicant(s): David CHOWN

Group No.:

Filed: Herewith

Examiner:

For: TRANSMISSION OF SUPERVISORY DATA IN AN OPTICAL  
COMMUNICATION SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


TRANSMITTAL OF CERTIFIED COPY

Attached please find the certified copy of the foreign  
application from which priority is claimed for this case:

Country : Europe  
Application Number : 02255113.9  
Filing Date : July 22, 2002

**WARNING:** "When a document that is required by statute to be  
certified must be filed, a copy, including a photocopy or  
facsimile transmission of the certification is not  
acceptable." 37 CFR 1.4(f) (emphasis added.)

Reg. No.: 44,695

  
SIGNATURE OF ATTORNEY

Joseph V. Gamberdell, Jr.

Tel. No.: (203) 259-1800

Type or print name of attorney

Perman & Green, LLP

Customer No.: 2512

P.O. Address

425 Post Road,  
Fairfield, CT 06824

NOTE: The claim to priority need be in no special form and may  
be made by the attorney or agent if the foreign application  
is referred to in the oath or declaration as required by §  
1.63.

(Transmittal of Certified Copy [5-4])





Europäisches  
Patentamt

European  
Patent Office

Office eur péen  
des brevets

Bescheinigung

Certificate

Attestation

Die angehefteten Unterla-  
gen stimmen mit der  
ursprünglich eingereichten  
Fassung der auf dem näch-  
sten Blatt bezeichneten  
europäischen Patentanmel-  
dung überein.

The attached documents  
are exact copies of the  
European patent application  
described on the following  
page, as originally filed.

Les documents fixés à  
cette attestation sont  
conformes à la version  
initialement déposée de  
la demande de brevet  
européen spécifiée à la  
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02255113.9

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk

DEN HAAG, DEN  
THE HAGUE,  
LA HAYE, LE

28/08/02





Eur päisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

Anmeldung Nr.:  
Application no.:  
Demande n°: 02255113.9

Anmeldetag:  
Date of filing:  
Date de dépôt: 22/07/02

Anmelder:  
Applicant(s):  
Demandeur(s):  
Agilent Technologies, Inc. - a Delaware corporation -  
Palo Alto, CA 94303-0870  
UNITED STATES OF AMERICA

Bezeichnung der Erfindung:  
Title of the invention:  
Titre de l'invention:  
Transmission of supervisory data in an optical communication system

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:  
State:  
Pays:

Tag:  
Date:  
Date:

Aktenzeichen:  
File no.  
Numéro de dépôt:

Internationale Patentklassifikation:  
International Patent classification:  
Classification internationale des brevets:

/

Am Anmeldetag benannte Vertragsstaaten:  
Contracting states designated at date of filing:  
Etats contractants désignés lors du dépôt: AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE/TR

Bemerkungen:  
Remarks:  
Remarques:



"Transmission of supervisory data in an optical communication system"

\* \* \*

5 The present invention relates to optical communication systems.

In an optical communication system, most of the data that is carried over the system is "payload" data, namely phone calls, e-mail, Internet messages, etc. A part of the data is "supervisory" data relating to  
10 operation of the system itself.

Supervisory data typically includes status and fault signals transmitted from network equipment at remote locations in the network to a central network control centre as well as command and control signals  
15 transmitted from the control centre to network equipment at remote locations.

Supervisory data is currently transmitted on a supervisory channel, at a wavelength distinct from the wavelength or wavelengths on which the payload data is  
20 transmitted.

Typically, for a system where payload data is transmitted over channels in the C band - namely 1525 to 1575 nm - the supervisory channel is at 1510, 1600 or 1300 nm.

25 Also typically, transmitters and receivers for the payload channel(s) and the supervisory channel are assembled from discrete laser and photodiode components with the combination and separation of the payload channels and the supervisory channel performed by a  
30 discrete WDM coupler/splitter device. These components have pigtails and are spliced together to perform the functions of launching, combining, separating and receiving the payload channels and the supervisory channel.

Additionally, electronics are required to drive the lasers in response to the digital payload and supervisory data inputs and to provide digital payload and supervisory data outputs in response to the signals provided by the photodiodes.

Recently, transmitter, receiver and transceiver modules have become available which, in systems not providing for the presence of supervisory channels, eliminate the need for splicing discrete components together and providing additional electronics by arranging all the required electric and optoelectronic components within a single housing with an electrical connector receiving a digital electrical signal and an optical connector receiving a patchcord. This simplifies the work of Network Equipment Manufactures (NEMs) as they no longer have to splice fibres and are no longer required to have a detailed knowledge of discrete lasers and photodiodes.

Figure 1 shows an optical communication system of the prior art including a transmitter portion and a receiver portion shown at the top and bottom of the drawing, respectively.

The transmitter portion is intended to permit the transmission of digital data including payload data PL and supervisory data S over a system fibre SF.

The input payload data PL is fed to a laser drive electronics LD1 that drives a first laser source L1 to generate a first (payload) optical signal at a first wavelength.

Similarly, input data representing supervisory data S is fed to a further laser drive electronics LD2 that drives a second laser source L2 to generate a second optical signal at a second wavelength representative of supervisory data.



The optical signals representative of payload and supervisory data are combined in a WDM combiner WDM-C to be launched into the fibre SF. Splices S1 and S2 are provided between the laser sources L1 and L2 and the  
5 WDM combiner WDM-C.

A further splice S3 is provided between the combiner WDM-C and a first connector C1 (that is actually comprised of two complementary parts) for connection to the system fibre SF.

10 The receiver portion of the system has a structure that is substantially identical to the structure of the transmitter portion described in the foregoing.

The combined WDM optical signal coming from the system fibre SF is sent to a WDM splitter WDM-S. The  
15 optical wavelength carrying the payload signal and the optical wavelength carrying the supervisory signal are thus separated and sent towards a first photodetector P1 and a second photodetector P2, respectively.

The detected signals from the photodetectors P1  
20 and P2 are fed to respective receiver electronics RE1 and RE2 to produce an output digital payload signal PL and an output digital supervisory signal S.

Again, at the receiver end of the system, a splice S4 is provided between the connector C2 associated at  
25 the receiving end of the system fibre SF and the WDM splitter WDM-S. Two additional splices S5 and S6 are arranged between the splitter WDM-S and photodetectors P1 and P2, respectively.

Figure 2 shows another prior art solution wherein  
30 components identical or equivalent to those already shown and described in the foregoing are indicated with the same references as used in figure 1.

In the prior art arrangement of figure 2, the payload laser L1 and the associated laser driver  
35 electronic LD1 at the transmitting end, as well as the

payload photodetector P1 and the associated receiver electronics RE1 at the receiving end of the system are integrated into transmitter and receiver modules indicated TM and RM, respectively.

5        Some of the splices of figure 1 (specifically those splices designated S1 and S5 in figure 1) are dispensed with. Splices such as splices S2, S3, S4, and S6 however still exist in the arrangement of figure 2 these splices having to be made and the fibre handled  
10       and secured.

      At least in principle, it would be possible to integrate the supervisory laser L2 and the associated laser drive electronics LD2 as well as the supervisory photodetector P2 and the associated receiver  
15       electronics RE2 to form respective transmitter and receiver modules. However, such modules are not commercially available and, in any case, would not dispense with all the splicing and fibre handling considered.

20       It is therefore an object of this invention to devise an improved solution having the advantage of no longer having to splice fibres and wherein detailed knowledge of discrete lasers and photodiodes for use with supervisory channels is no longer required.

25       According to the present invention, such a solution is provided by means of the system having the features set forth in the claims that follow.

      The concept of the invention as defined in the main claim distinctly encompasses a transmitter module, a  
30       receiver module as well as a transmitter/receiver (i.e. transceiver) module. It will thus be appreciated that referring to signals being converted between a given format and another format encompasses conversion in either direction, that is from said given format into  
35       said other format and vice versa.

The invention will now be described, by way of example only, with reference to the annexed drawings, wherein:

- Figures 1 and 2, relating to prior art solutions, have been already described in the foregoing,

- Figure 3 shows a first embodiment of a system according to the invention,

- Figure 4, including portions designated 4a and 4b, respectively, shows typical arrangements for a transmitter module and receiver module for use in the invention, and

- Figure 5 shows a transceiver where the transmitter and receiver modules shown in Figure 4a and 4b are integrated to a single device.

Again, in the drawings of figures 3, 4 and 5 the same references were used to designate parts/components that are identical or, in any case, equivalent to those already described in connection with figures 1 and 2.

This applies primarily to the system fibre SF, the connectors C1 and C2 associated therewith as well as the WDM combiner and splitter modules WDM-C and WDM-S. The combiner and splitter modules ensure conversion of the signal conveying both payload and supervisory information between an "aggregated" WDM signal format adopted for transmission over the system fibre SF and a "disaggregated" signal format, namely the format where payload and supervisory data are conveyed over distinct, separate optical signals i.e. before WDM multiplexing or after WDM de-multiplexing.

Similarly, references L1 and L2 designate respective laser sources adapted to generate respective "disaggregated" optical signals (i.e. before WDM multiplexing) corresponding to payload and supervisory information.

Finally, references P1 and P2 designate photodetectors intended to convert the "disaggregated" optical signals conveying the payload and supervisory information (i.e. after WDM de-multiplexing) back to the electrical format.

In the exemplary embodiment of the invention shown in figure 3, the payload and supervisory lasers L1, L2 as well as the respective (preferably common) drive electronics LD and the WDM combiner WDM-C are integrated into a single transmitter module TM1.

Similarly, the WDM de-multiplexer (splitter) unit WDM-S, the payload and supervisory photodetectors P1 and P2 together with the respective (again preferably common) electronics RE are similarly integrated into a single receiver module RM1.

Both modules TM1 and RM1 are self-contained modules adapted for direct connection (on the optical side) to the system fibre SF via connectors C1, C2, where all the splices provided in the prior art arrangements shown in figures 1 and 2 having been dispensed with. Stated otherwise, the components included in the modules TM1 and RM1 are connected by means of signal propagation paths that are exempt from splices.

Figure 4a shows in greater detail the structure of the "optical" portion of the transmitter module TM1.

Light from the payload laser L1 is collimated by a lens V1, shown conventionally as a double headed arrow, and transmitted through a beam splitter BS1 and an optional isolator IS before being focussed into the connector C1 (comprised, for example, of a fibre stub) by a second lens V2.

Light from the supervisory laser L2 is similarly collimated by a third lens V3 and reflected by the beam splitter BS1 to be transmitted through the optional

isolator before being focussed into the connector by the second lens V2.

At least one of the payload laser L1, supervisory laser L2, beam splitter BS1 and isolator IS may be  
5 mounted on a thermoelectric cooler (e.g. a Peltier-effect module) in a hermetic enclosure.

The laser drive electronics LD are typically mounted on a printed circuit board within the module housing. The electrical and optical connectors are  
10 mounted on the module housing.

Figure 4b shows the receiver module RM1 having a substantially similar structure.

Light from the connector R2 is incident on a beam splitter BS2. Light in the payload channel is  
15 transmitted through the beam splitter BS2 and focussed via a lens V4 onto the payload photodetector P1.

Light in the supervisory channel is reflected by the beam splitter and is focussed by a lens V5 onto the supervisory photodetector P2.

20 Again, the receiver electronics RE are mounted on a printed circuit board within the module housing. The electrical and optical connectors are mounted on the module housing.

Figure 5 shows a transmitter-receiver i.e.  
25 transceiver module in which the transmitter and receiver functions of figures 4a and 4b are integrated into a single housing. Such an arrangement provides the additional advantage of the laser drive electronics LD and receiver electronics RE being adapted to be  
30 integrated to a single electronics sub-module designated E.

Of course, without prejudice to the basic principle of the invention, the details of construction and the embodiments may widely vary with respect to what has  
35 been described and illustrated purely by way of

example, without departing from the scope of the present invention. Also, it will be appreciated that, according to the current meaning in the art, designations such as "optical", "light" and so on are  
5 in no way restricted to the sole domain of visible light radiation. These designations do in fact apply to the whole of the wavelength domains adapted for use in optical communications, including e.g. the UV and IR domains.

CLAIMS

1. A system for converting a first and a second signal representative of payload and supervisory information, respectively, between an electrical format (PL, S) and a WDM aggregated optical format, the system including:

- at least one first converter (L1, P1) for converting said first signal between said electrical format (P, L) and a first, disaggregated optical format,

- at least one second converter (L2, P2) for converting said second signal between said electrical format (S) and a second, disaggregated optical format, and

- at least one optical WDM converter (WDM-C, WDM-S) for converting said first and second signals between said first and second disaggregated optical formats and said WDM aggregated optical format,

characterised in that said at least one first converter (L1, P1), said at least one second converter (L2, P2) and said at least one optical WDM converter (WDM-C, WDM-S) are integrated to a single self-contained module (TM1, RM1) by means of signal propagation paths that are exempt from splices.

2. The system of claim 1, characterised in that said first converter (L1, P1) and said second converter (L2, P2) have associated signal processing electronics (LD, RE), said signal processing electronics (LD, RE) being integrated to said single self-contained module (TM1, RM1).

3. The system of either of claims 1 or 2, characterised in that said optical WDM converter (WDM-C, WDM-S) includes a beam splitter (BS1, BS2).

4. The system of claim 3, characterised in that said beam splitter (BS1, BS2) has associated an optical

connector (C1, C2) for conveying said first and said second signals in said WDM aggregated optical format, and in that said beam splitter (BS1, BS2) is arranged to transfer optical radiation between said first  
5 converter (L1, P1) and said optical connector (C1, C2).

5. The system of either of claims 3 or 4, characterised in that said beam splitter (BS1, BS2) has associated an optical connector (C1, C2) for conveying said first and said second signals in said WDM  
10 aggregated optical format, and in that said beam splitter (BS1, BS2) is arranged to define an optical signal reflection path between said second converter (L2, P2) and said optical connector (C1, C2).

6. The system of any of claims 3 to 5, characterised in that said beam splitter (BS1, BS2) has associated radiation focusing elements (V1, V4; V3, V5) interposed between said beam splitter and said first  
15 (L1, P1) and said second (L2, P2) converter.

7. The system of either of claims 4 or 5, characterised in that it includes a further focusing element (V2) interposed between said beam splitter  
20 (BS1) and said optical connector (C1) for focusing onto said optical connector (C1) optical radiation propagating from said beam splitter (BS1).

8. The system of claim 7, characterised in that it includes an optical isolator (IS) interposed between said beam splitter (BS1) and said further focusing  
25 element (V2).

9. The system of any of claims 1 to 8, characterised in that said first converter and said  
30 second converter include laser sources (L1, L2) driven with said first and second signals (PL, S) in said electrical format, respectively, and in that said optical WDM converter includes a WDM combiner (WDM-C)  
35 to combine said first and said second signals in said



first disaggregated optical format and said second disaggregated optical format to produce said WDM aggregated optical format, the system thus comprising a transmitter module (TM1).

5 10. The system of any of claims 1 to 6, characterised in that said optical WDM converter includes a WDM splitter (WDM-S) for de-multiplexing said WDM aggregated optical format into said first  
10 disaggregated optical format and said second disaggregated optical format, and in that said first converter and said second converter include photoelectric converters (P1, P2) for converting said  
15 first disaggregated optical format and said second disaggregated optical format into said first and second signals in said electrical format (PL, S), the system thus comprising a receiver module (RM1).

11. The system of any of claims 1 to 8, characterised in that it includes:

- A pair of said first converters (L1, P1), in the  
20 form of a first laser source (L1) and a first photoelectric converter (P1), respectively;

- a pair of said second converters in the form of a second laser source (L2) and a second photoelectric converter (P2), respectively; and

25 - a pair of said optical WDM converters, in the form of a WDM combiner (WDM-C) and a WDM splitter (WDM-S), respectively;

the arrangement being such that said first laser source (L1) and said second laser source (L2) are  
30 arranged for converting a first pair of first and second signals representative of payload and supervisory information, respectively, from said electrical format into a first pair of first disaggregated optical format and second disaggregated  
35 optical format signals and said WDM combiner (WDM-C) is

adapted to convert said first pair of first and second disaggregated optical format signals into a first WDM aggregated optical format signal, and

5       - said WDM splitter (WDM-S) is adapted to convert a second WDM aggregated optical format signal into a second pair of first and second disaggregated optical format signals, and said first photoelectric converter (P1) and said second photoelectric converter (P2) are adapted to convert said second pair of first and second  
10   disaggregated optical format signals into a second pair of first and second signals representative of payload and supervisory information (PL, S) in said electrical format, the system thus comprising a transceiver module.

ABSTRACT

"Transmission of supervisory data in an optical communication system"

5

A system for converting a first and a second signal representative of payload and supervisory information, respectively, between an electrical format (PL, S) and a WDM optical format, includes:

10       - a first converter (L1, P1) for converting the first signal between the electrical format (P, L) and a first disaggregated optical format,

          - a second converter (L2, P2) for converting the second signal between the electrical format (S) and a  
15       second disaggregated optical format, and

          - an optical WDM converter (WDM-C, WDM-S) for converting the first and second signals between the aforesaid first and second disaggregated optical formats and the WDM aggregated optical format.

20       The first converter (L1, P1), the second converter (L2, P2) and the optical WDM converter (WDM-C, WDM-S) are integrated to a single self-contained module (TM1, RM1). The components included in such modules (TM1, RM1) are connected by means of signal propagation paths  
25       that are exempt from splices. The arrangement is adapted to be implemented as a transmitter, a receiver as well as a transceiver module.

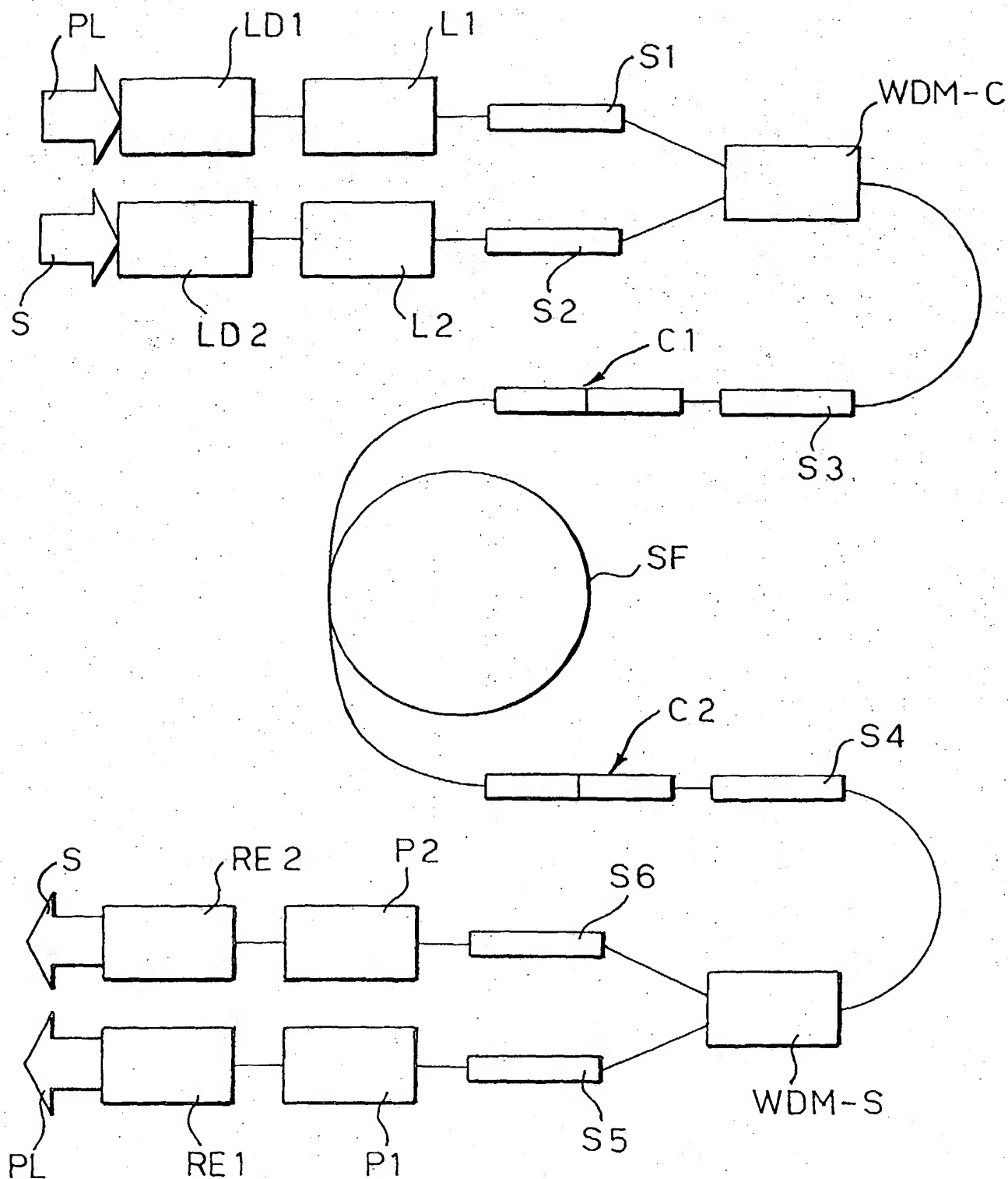
(Figures 4a and 4b)

30



1/5

Fig-1



PRIOR ART

Fig\_2

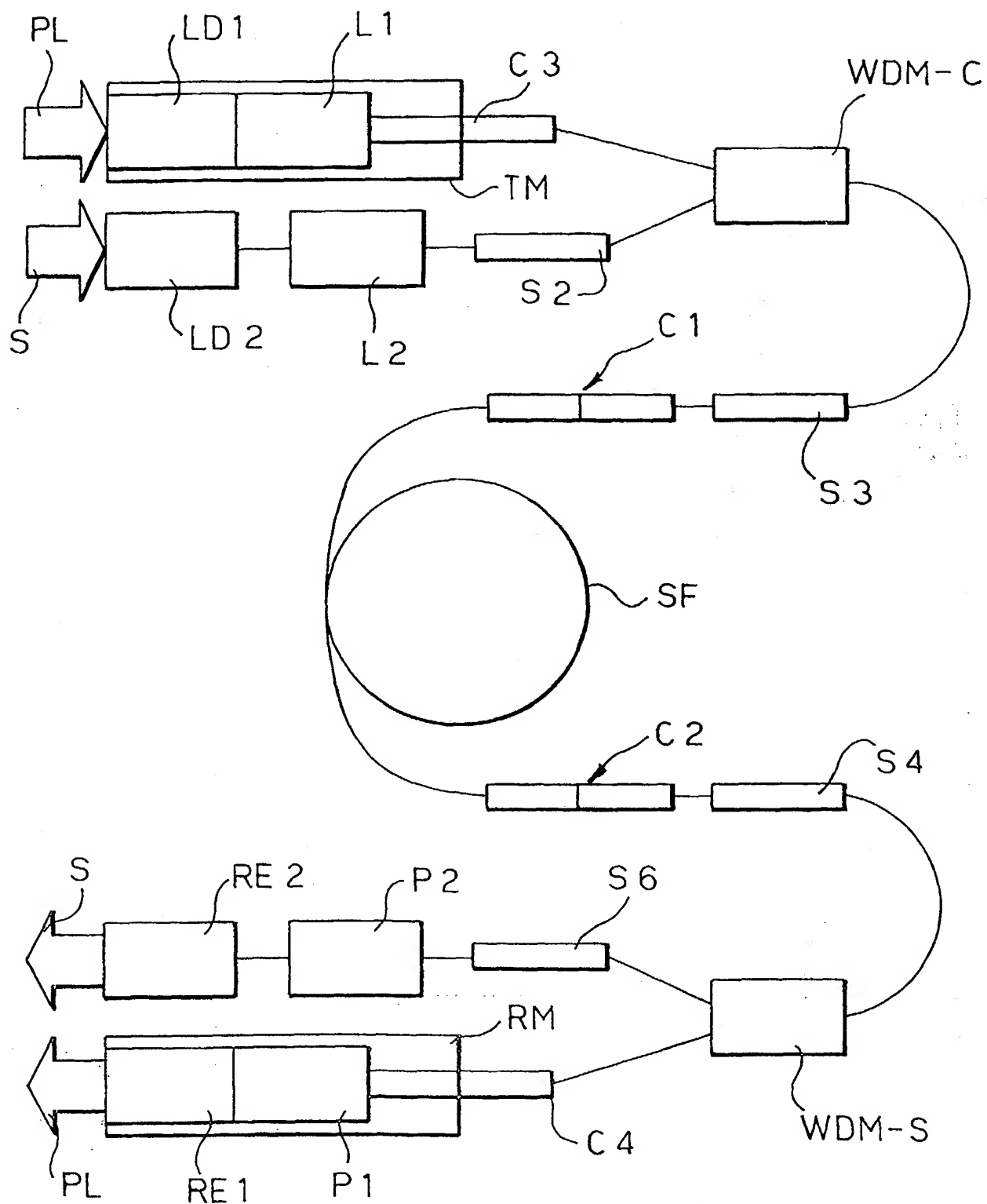


Fig-3

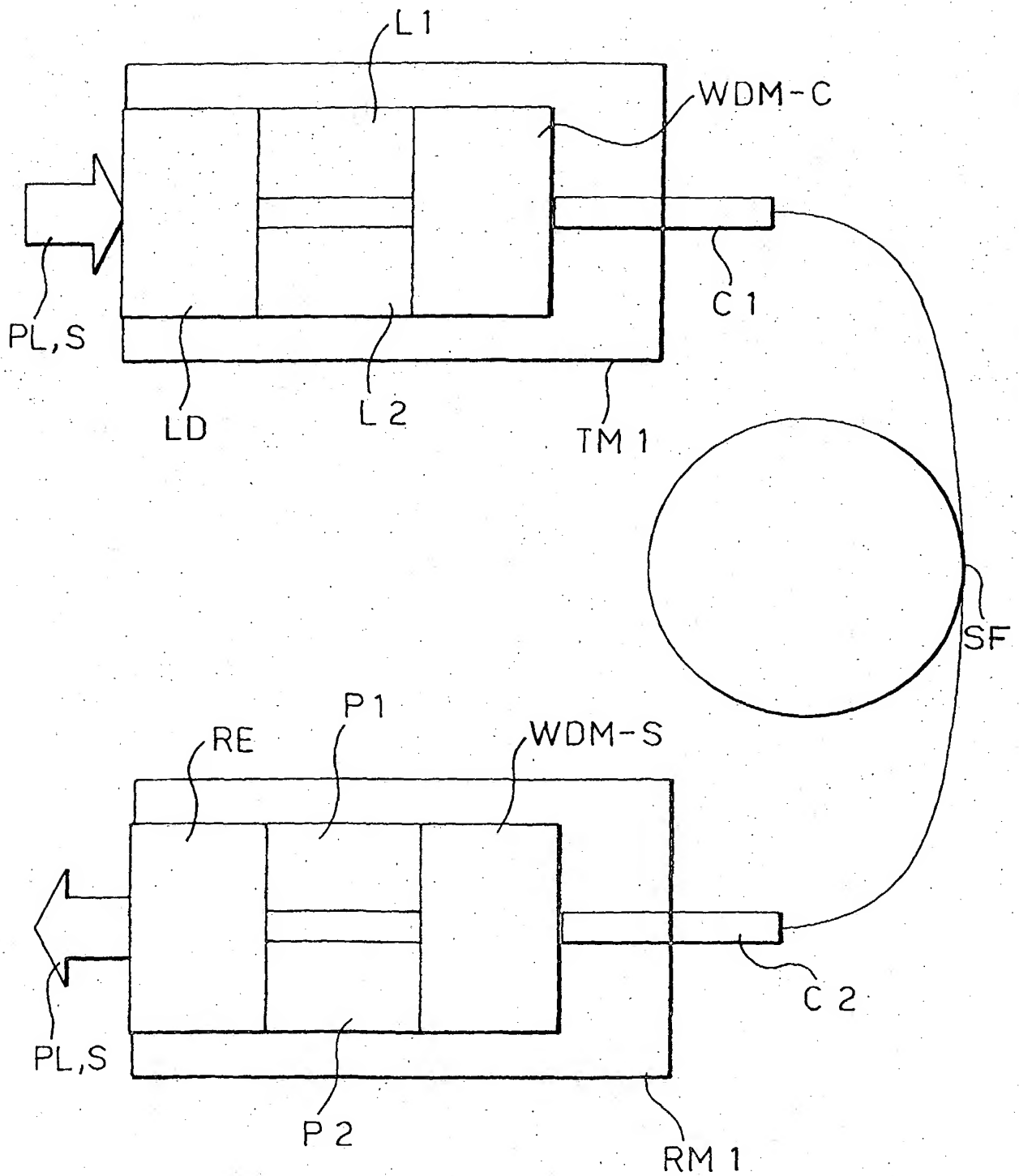


Fig. 4a

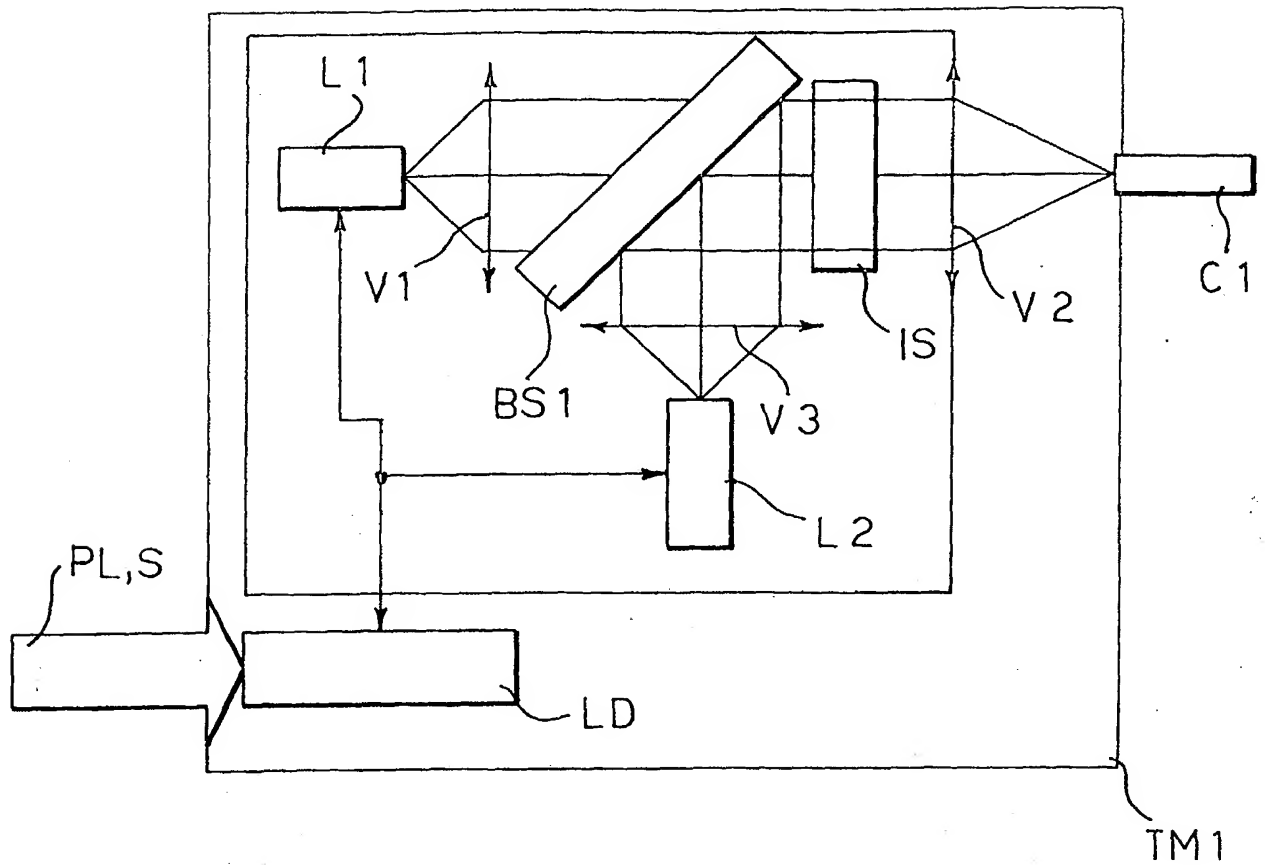


Fig. 4b

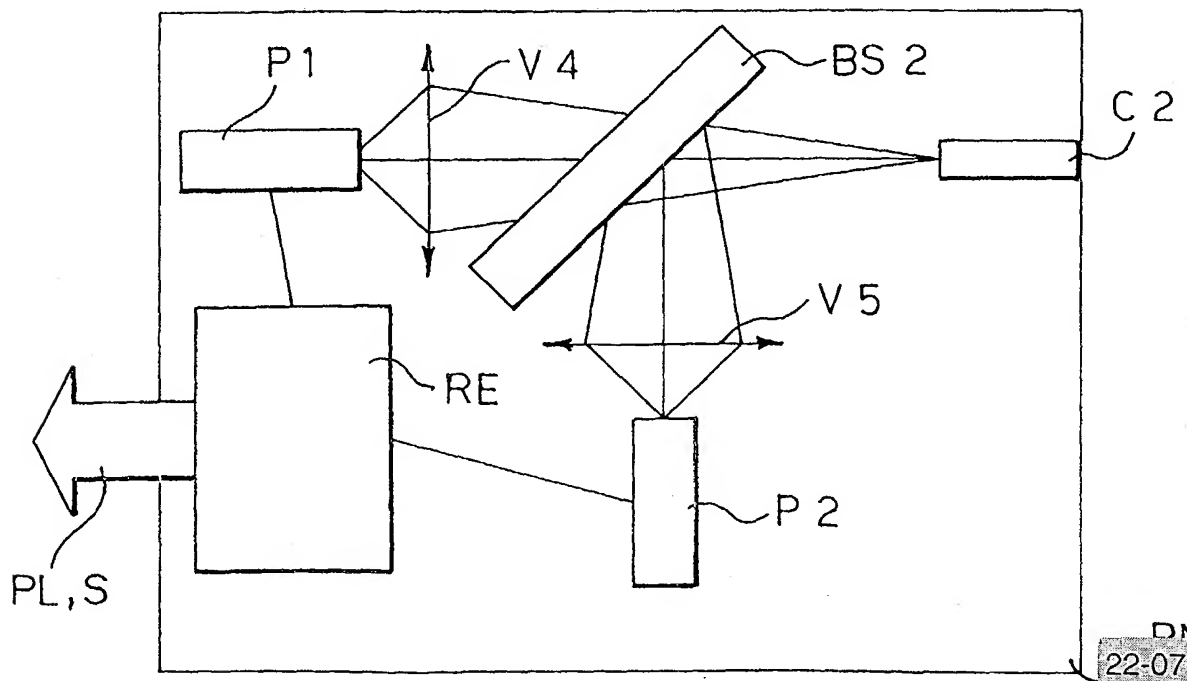
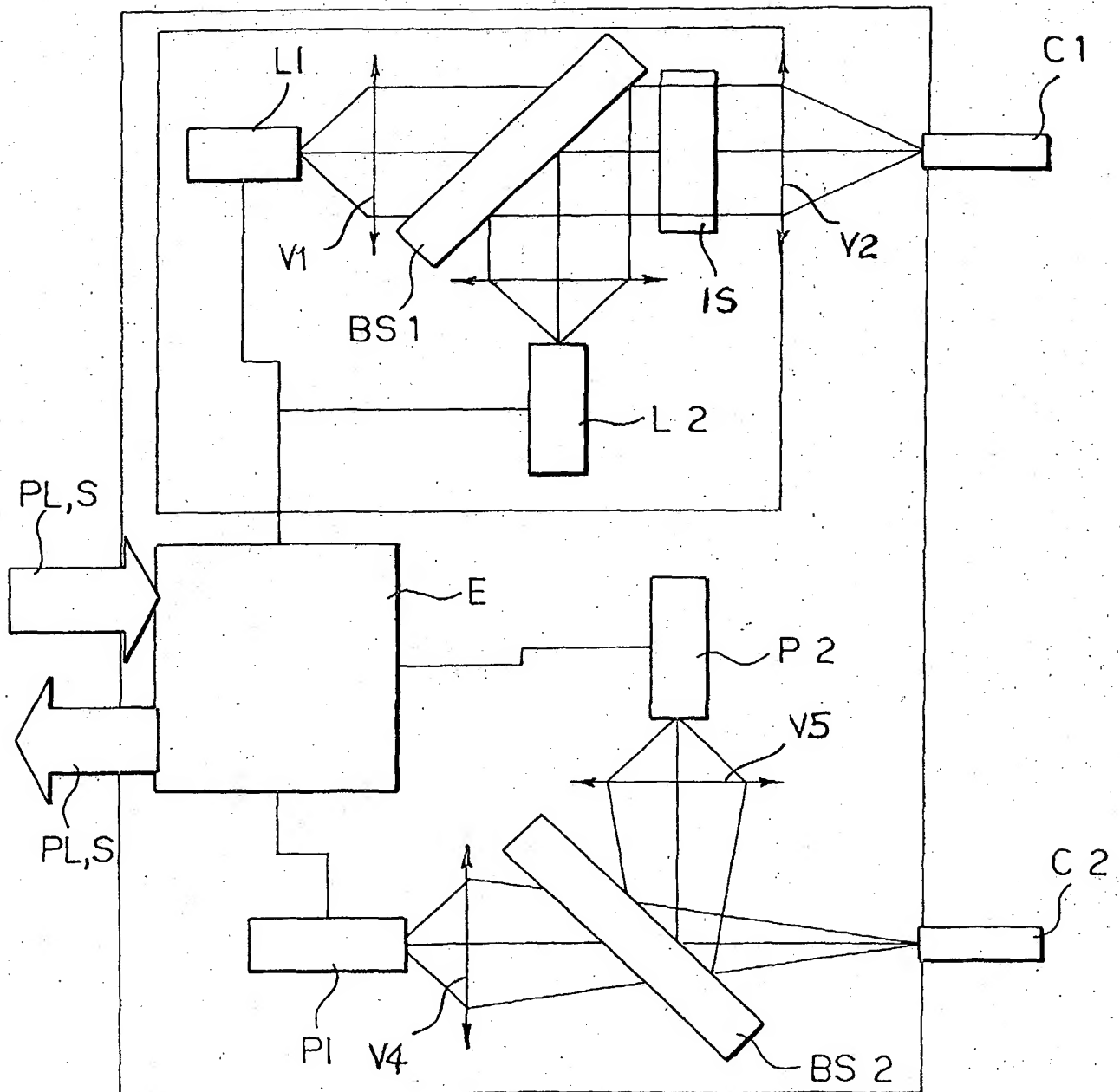




Fig. 5







DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
A	US 6 282 017 B1 (KINOSHITA SUSUMU) 28 August 2001 (2001-08-28) * abstract; figures 1,4,7,14,15 * * column 4, line 35 - line 65 *	1,2,9-11	H04B10/08 H04J14/02 G02B6/43 G02B6/42
A	WO 00 67400 A (FUTURE FIBRE TECHNOLOGIES PTY ;TAPANES EDWARD E (AU)) 9 November 2000 (2000-11-09) * abstract; figures 1,5,6,9,13,14 * * page 28, line 7 - line 24 *	1,2,9-11	
A	WO 01 08422 A (SYCAMORE NETWORKS INC) 1 February 2001 (2001-02-01) * abstract; figures 1,3,4,7 *	1,2,9-11	
			TECHNICAL FIELDS SEARCHED (Int.Cl.7)
			H04B H04J G02B
The present search report has been drawn up for all claims			
Place of search MUNICH		Date of completion of the search 2 December 2002	Examiner Goudelis, M
<b>CATEGORY OF CITED DOCUMENTS</b> X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

